# **Operating-System Structures**

- System Components
- Operating System Services
- System Calls
- System Programs
- System Structure
- Virtual Machines
- System Design and Implementation
- System Generation

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# **Common System Components**

- Process Management
- Main Memory Management
- File Management
- I/O System Management
- Secondary Management
- Networking
- Protection System
- Command-Interpreter System

### **Process Management**

- A process is a program in execution. A process needs certain resources, including CPU time, memory, files, and I/O devices, to accomplish its task.
- The operating system is responsible for the following activities in connection with process management.
  - Process creation and deletion.
  - process suspension and resumption.
  - Provision of mechanisms for:
    - process synchronization
    - process communication

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## **Main-Memory Management**

- Memory is a large array of words or bytes, each with its own address. It is a repository of quickly accessible data shared by the CPU and I/O devices.
- Main memory is a volatile storage device. It loses its contents in the case of system failure.
- The operating system is responsible for the following activities in connections with memory management:
  - Keep track of which parts of memory are currently being used and by whom.
  - Decide which processes to load when memory space becomes available.
  - Allocate and deallocate memory space as needed.

# **File Management**

- A file is a collection of related information defined by its creator. Commonly, files represent programs (both source and object forms) and data.
- The operating system is responsible for the following activities in connections with file management:
  - File creation and deletion.
  - Directory creation and deletion.
  - Support of primitives for manipulating files and directories.
  - Mapping files onto secondary storage.
  - File backup on stable (nonvolatile) storage media.

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# I/O System Management

- The I/O system consists of:
  - A buffer-caching system
  - A general device-driver interface
  - Drivers for specific hardware devices

## **Secondary-Storage Management**

- Since main memory (primary storage) is volatile and too small to accommodate all data and programs permanently, the computer system must provide secondary storage to back up main memory.
- Most modern computer systems use disks as the principle on-line storage medium, for both programs and data.
- The operating system is responsible for the following activities in connection with disk management:
  - Free space management
  - Storage allocation
  - Disk scheduling

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## **Networking (Distributed Systems)**

- A distributed system is a collection processors that do not share memory or a clock. Each processor has its own local memory.
- The processors in the system are connected through a communication network.
- Communication takes place using a protocol.
- A distributed system provides user access to various system resources.
- Access to a shared resource allows:
  - Computation speed-up
  - Increased data availability
  - Enhanced reliability

# **Protection System**

- Protection refers to a mechanism for controlling access by programs, processes, or users to both system and user resources.
- The protection mechanism must:
  - distinguish between authorized and unauthorized usage.
  - specify the controls to be imposed.
  - provide a means of enforcement.

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# **Command-Interpreter System**

- Many commands are given to the operating system by control statements which deal with:
  - process creation and management
  - I/O handling
  - secondary-storage management
  - main-memory management
  - file-system access
  - protection
  - networking

## **Command-Interpreter System (Cont.)**

- The program that reads and interprets control statements is called variously:
  - command-line interpreter
  - shell (in UNIX)

Its function is to get and execute the next command statement.

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# **Operating System Services**

- Program execution system capability to load a program into memory and to run it.
- I/O operations since user programs cannot execute I/O operations directly, the operating system must provide some means to perform I/O.
- File-system manipulation program capability to read, write, create, and delete files.
- Communications exchange of information between processes executing either on the same computer or on different systems tied together by a network. Implemented via shared memory or message passing.
- Error detection ensure correct computing by detecting errors in the CPU and memory hardware, in I/O devices, or in user programs.

### **Additional Operating System Functions**

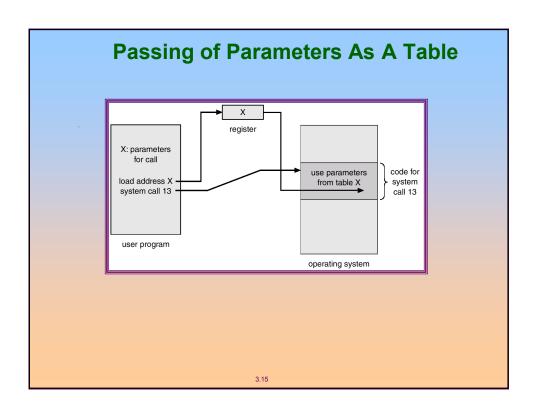
Additional functions exist not for helping the user, but rather for ensuring efficient system operations.

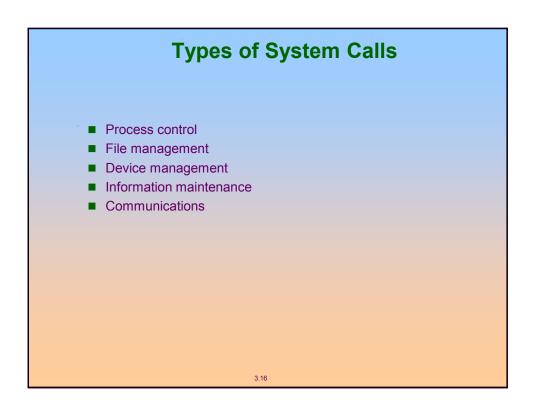
- Resource allocation allocating resources to multiple users or multiple jobs running at the same time.
- Accounting keep track of and record which users use how much and what kinds of computer resources for account billing or for accumulating usage statistics.
- Protection ensuring that all access to system resources is controlled.

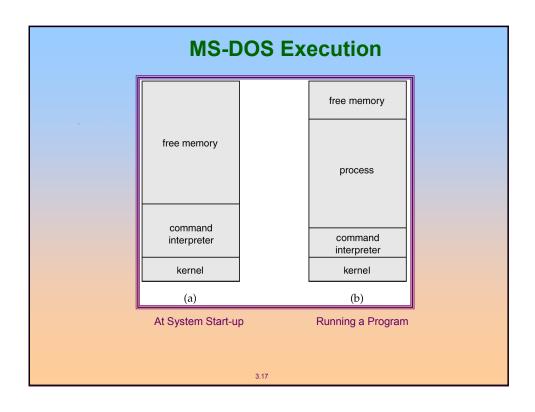
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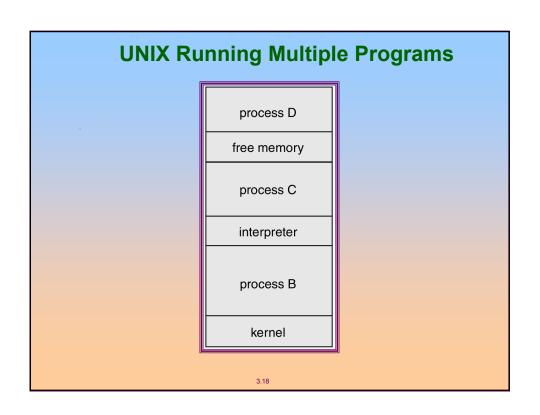
## **System Calls**

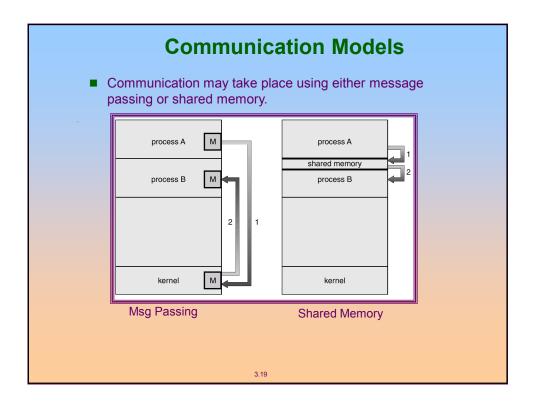
- System calls provide the interface between a running program and the operating system.
  - Generally available as assembly-language instructions.
  - Languages defined to replace assembly language for systems programming allow system calls to be made directly (e.g., C, C++)
- Three general methods are used to pass parameters between a running program and the operating system.
  - Pass parameters in registers.
  - Store the parameters in a table in memory, and the table address is passed as a parameter in a register.
  - Push (store) the parameters onto the stack by the program, and pop off the stack by operating system.









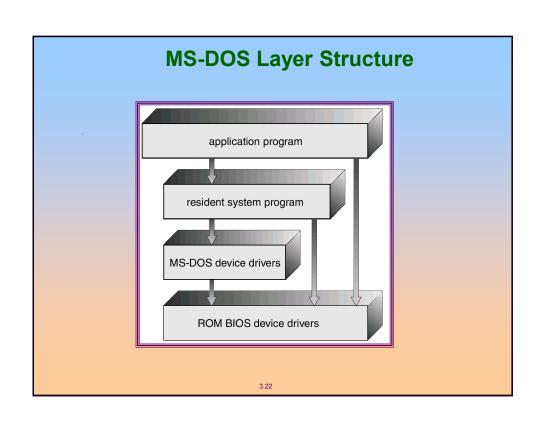


# **System Programs**

- System programs provide a convenient environment for program development and execution. The can be divided into:
  - File manipulation
  - Status information
  - File modification
  - Programming language support
  - Program loading and execution
  - Communications
  - Application programs
- Most users' view of the operation system is defined by system programs, not the actual system calls.

# **MS-DOS System Structure**

- MS-DOS written to provide the most functionality in the least space
  - not divided into modules
  - Although MS-DOS has some structure, its interfaces and levels of functionality are not well separated



# **UNIX System Structure**

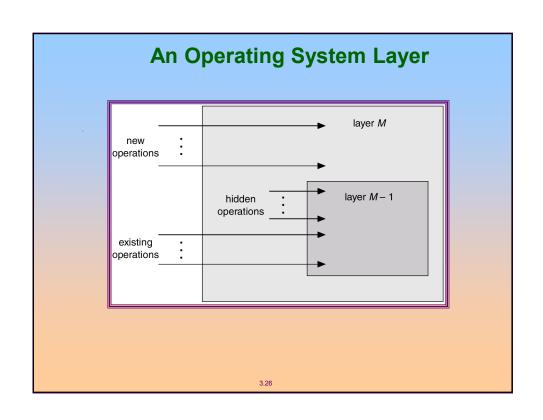
- UNIX limited by hardware functionality, the original UNIX operating system had limited structuring. The UNIX OS consists of two separable parts.
  - Systems programs
  - The kernel
    - Consists of everything below the system-call interface and above the physical hardware
    - Provides the file system, CPU scheduling, memory management, and other operating-system functions; a large number of functions for one level.

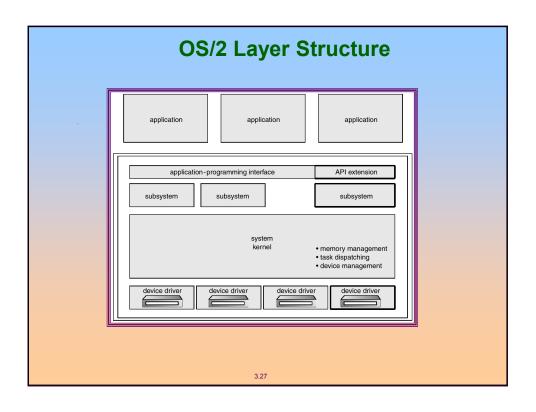
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#### **UNIX System Structure** (the users) shells and commands compilers and interpreters system libraries system-call interface to the kernel signals terminal file system CPU scheduling swapping block I/O handling page replacement character I/O system system demand paging terminal drivers disk and tape drivers virtual memory kernel interface to the hardware memory controllers terminal controllers device controllers terminals disks and tapes physical memory 3.24

# **Layered Approach**

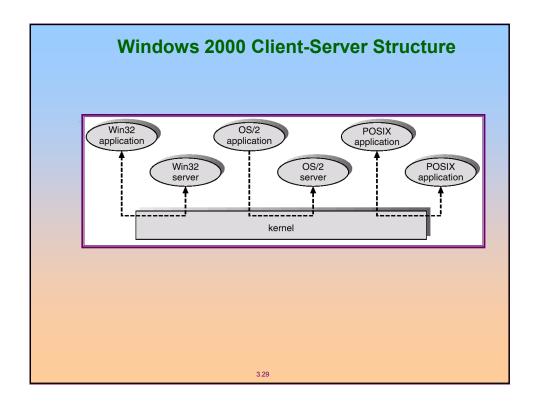
- The operating system is divided into a number of layers (levels), each built on top of lower layers. The bottom layer (layer 0), is the hardware; the highest (layer N) is the user interface.
- With modularity, layers are selected such that each uses functions (operations) and services of only lower-level layers.





# **Microkernel System Structure**

- Moves as much from the kernel into "*user*" space.
- Communication takes place between user modules using message passing.
- Benefits:
  - easier to extend a microkernel
  - easier to port the operating system to new architectures
  - more reliable (less code is running in kernel mode)
  - more secure

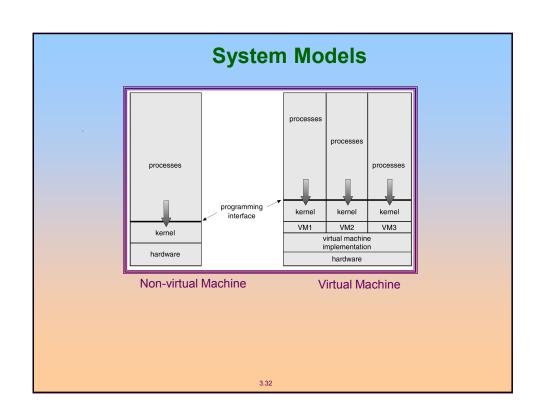


### **Virtual Machines**

- A *virtual machine* takes the layered approach to its logical conclusion. It treats hardware and the operating system kernel as though they were all hardware.
- A virtual machine provides an interface *identical* to the underlying bare hardware.
- The operating system creates the illusion of multiple processes, each executing on its own processor with its own (virtual) memory.

# **Virtual Machines (Cont.)**

- The resources of the physical computer are shared to create the virtual machines.
  - CPU scheduling can create the appearance that users have their own processor.
  - Spooling and a file system can provide virtual card readers and virtual line printers.
  - A normal user time-sharing terminal serves as the virtual machine operator's console.



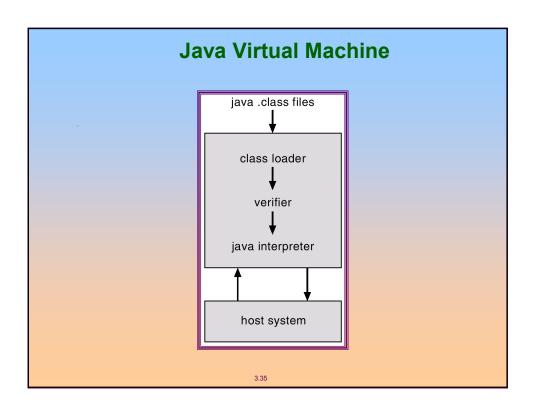
#### **Advantages/Disadvantages of Virtual Machines**

- The virtual-machine concept provides complete protection of system resources since each virtual machine is isolated from all other virtual machines. This isolation, however, permits no direct sharing of resources.
- A virtual-machine system is a perfect vehicle for operating-systems research and development. System development is done on the virtual machine, instead of on a physical machine and so does not disrupt normal system operation.
- The virtual machine concept is difficult to implement due to the effort required to provide an *exact* duplicate to the underlying machine.

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#### **Java Virtual Machine**

- Compiled Java programs are platform-neutral bytecodes executed by a Java Virtual Machine (JVM).
- JVM consists of
  - class loader
  - class verifier
  - runtime interpreter
- Just-In-Time (JIT) compilers increase performance



# **System Design Goals**

- User goals operating system should be convenient to use, easy to learn, reliable, safe, and fast.
- System goals operating system should be easy to design, implement, and maintain, as well as flexible, reliable, error-free, and efficient.

#### **Mechanisms and Policies**

- Mechanisms determine how to do something, policies decide what will be done.
- The separation of policy from mechanism is a very important principle, it allows maximum flexibility if policy decisions are to be changed later.

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# **System Implementation**

- Traditionally written in assembly language, operating systems can now be written in higher-level languages.
- Code written in a high-level language:
  - can be written faster.
  - is more compact.
  - is easier to understand and debug.
- An operating system is far easier to *port* (move to some other hardware) if it is written in a high-level language.

# **System Generation (SYSGEN)**

- Operating systems are designed to run on any of a class of machines; the system must be configured for each specific computer site.
- SYSGEN program obtains information concerning the specific configuration of the hardware system.
- *Booting* starting a computer by loading the kernel.
- Bootstrap program code stored in ROM that is able to locate the kernel, load it into memory, and start its execution.